

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



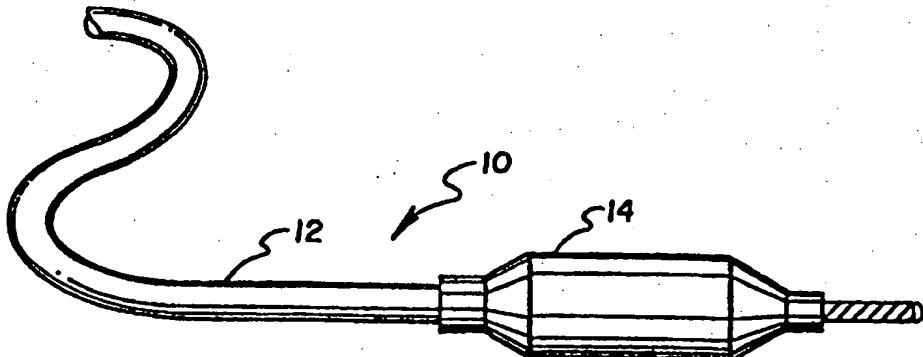
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A61L 29/00, C08L 67/02, A61M 25/10	A1	(11) International Publication Number: <b>WO 99/44649</b> (43) International Publication Date: 10 September 1999 (10.09.99)
(21) International Application Number: PCT/US99/04836		(81) Designated States: CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 4 March 1999 (04.03.99)		
(30) Priority Data: 09/034,431 4 March 1998 (04.03.98) US		<b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US).		
(72) Inventors: WANG, Lixiao; 12822 86th Place North, Maple Grove, MN 55369 (US). CHEN, Jianhua; 4725 Terraceview Lane, Plymouth, MN 55446 (US).		
(74) Agents: STEINKRAUS, Walter, J. et al.; Vidas, Arrett & Steinkraus, Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131 (US).		

(54) Title: COMPOSITION AND PROCESS FOR MANUFACTURING PBT CATHETER BALLOONS

(57) Abstract

A processing technique and a composition which allow compositions of PBT polymers or copolymers to be formed into blow molded articles, especially medical balloons such as dilatation or stent placement balloons, from extruded tubular parisons. The process includes a longitudinal stretch step run at a temperature below the Tg of the polymeric material and a radial expansion step run at a temperature above the Tg of the polymeric material. During the longitudinal stretch step, the tubing is subjected to high internal pressure. In the composition a small amount of boric acid is added to the polybutylene terephthalate in a melt blend to give a formulation which has reduced crystallinity after extrusion and which can more readily be fashioned into high strength blow molded articles than PBT by itself.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

## COMPOSITION AND PROCESS FOR MANUFACTURING PBT CATHETER BALLOONS

### Background of the Invention

5 Devices having a balloon mounted at the distal end of a catheter are useful in a variety of medical procedures. A balloon reservoir may be used to deliver a biologically compatible fluid, such a radiologically opaque fluid for contrast x-rays, to a site within the body. Radial expansion of a balloon may be used to expand or inflate a stent positioned within the body. A balloon may also be used to widen a vessel into  
10 which the catheter is inserted by dilating the blocked vessel. For example, in the technique of balloon angioplasty, a catheter is inserted for long distances into blood vessels of extremely reduced diameter and used to release or dilate stenoses therein by balloon inflation. These applications require extremely thin walled high strength relatively inelastic balloons of accurately predictable inflation properties.

15 Dilatation balloons made from PET (polyethylene terephthalate) are well known and widely used for angioplasty, stent placement, treatments in the gastrointestinal, urethral, or reproductive tracts, and for other medical purposes. Other polymer materials have also been reported to be useful in such applications and some of those polymer materials have also been used commercially, for instance, polyethylene,  
20 polyvinyl chloride, Surlyn® polyethylene ionomer copolymer, nylon 12 and Pebax® polyamide-polyether-polyester block copolymer. In a number of references pertaining to the formation of dilatation balloons, PBT (polybutylene terephthalate) is mentioned as a suitable balloon material, alone or as one layer of a laminate balloon. Such statements may be found in EP 0 745 395 A2 (Ethicon); US 5,270,086 (Hamlin); and  
25 US 5,304,340 (Downey). To date, however, no reference has actually reported the preparation of a PBT dilatation balloon, or even a PBT balloon layer.

Balloons made from poly(butylene terephthalate)-block-poly(tetramethylene oxide) are described in US 5,556,383 (L. Wang, et al), incorporated herein by reference.

30 In US 5,316,016 there is described a diagnostic imaging balloon for use on catheters to obtain an image of the configuration of an internal lesion or other body structure. The balloon has a memory effect when inflated with a pressure in a certain low pressure range. The polymer material used to prepare the balloon is a blend of PBT

and a non-crystallizing ethylene/cyclohexanedimethylene terephthalate copolyester. This document states that it may be possible to prepare such balloons using PBT alone but to date no such balloons have been prepared. The imaging balloons prepared according to this patent are not suitable for dilatation or for other high pressure 5 applications.

A major reason why PBT has not been used to make such balloons is the extremely high crystallization rate which the polymer displays. The high crystallization rate of polybutylene terephthalate makes it especially suitable as a molding resin where rapid crystallization reduces mold residence time. However for articles which are 10 prepared from an extruded parison by a blow molding process, use of PBT polymer material has proven to be extremely difficult or impossible. This is because even very rapidly quenched extrusions are typically so high in crystallinity that the parison cannot be further processed in a practical manner. Opacification of the parison, another effect of PBT's high crystallinity, may also have been perceived as a problem for quality 15 control in a manufacturing process. References describing the crystallization rate of PBT and/or its impact on thermoforming applications include: M. Gilbert, et al., "Effect of Chemical Structure on Crystallization Rates and Melting of Polymers: Part 1. Aromatic Polyesters," *Polymer*, **13**, 327-332 (7/72); E. Chang, et al., "The Effect of Additives on the Crystallization of Poly(Butylene Terephthalate)," *Polymer Engineering and Science*, **18**, 932-936 (9/78); US 5,213,734, Kawaguchi, et al. (5/93); and US 20 5,128,404, Howe (7/92).

In US 5,213,754 there is described a polyester container prepared from a melt molded film of a butylene terephthalate copolyester. The copolyester is prepared from terephthalic acid, 1,4-butane diol and an alkylene oxide adduct of a bisphenol 25 compound. The copolyester is used to provide a lower crystallization rate compared to PBT homopolymer material. The lower crystallization rate taken together with specific subsequent processing steps allows a thermoformable sheet to be obtained.

In US 5,128,404 blow moldable PBT blend compositions are prepared by melt blending a mixture consisting essentially of PBT, an ethylene copolymer 30 containing epoxide groups and an ionomer obtained by neutralizing a (meth)acrylic acid functional polymer with  $\text{Na}^+$  or  $\text{K}^+$ .

In US 4,380,681 crystallization rate of alkaline carboxylate terminated PET is reported to have been *increased* by use of boric acid as a polymer additive or of sodium borate as a polymerization additive.

It would be desirable to be able to make medical balloons such as  
5 dilatation or stent placement balloons out of PBT because the material offers the potential of achieving strength properties similar to PET but with better rewrap and lesion crossing characteristics. There therefore exists a need for improved processing techniques or for improved formulations which allow formation of high strength PBT balloons.

10

### Summary of the Invention

The present invention relates, in one aspect, to a processing technique which allows compositions of PBT polymers or copolymers to be formed into blow molded articles, especially medical balloons such as dilatation or stent placement

15 balloons, from extruded tubular parisons. The process includes a longitudinal necking step run at a temperature at or below the Tg of the polymeric material and a radial expansion step run at a temperature above the Tg of the polymeric material. A characterizing feature of the inventive process is that, during the longitudinal necking step, the tubing is subjected to high internal pressure.

20 In another aspect the present invention relates to novel PBT-containing formulations which provide improved blow molding processability while producing a high strength formed article. According to this aspect of the invention, it has been discovered that a small amount of boric acid added to the polybutylene terephthalate in a melt blend gives a formulation which has reduced crystallinity after extrusion, as  
25 evidenced by improved extrusion clarity, and which can more readily be fashioned into high strength blow molded articles than PBT by itself.

While the processing and formulation aspects of the invention can be practiced independently of each other, in preferred embodiments of the invention they are practiced together.

30 The invention is also directed to articles, especially medical device balloon articles, formed by blow molding of a polymer composition in which the polymer consists essentially of PBT. Articles formed using the process of the invention

and/or the PBT/boric acid formulation described herein are also within the scope of the present invention.

### Brief Description of the Figures

5 Fig 1 is a perspective fragmentary view of a balloon catheter having a balloon thereon made in accordance with the invention.

Fig 2 is a side sectional view of a balloon in accordance with one embodiment of the invention.

## 10 Detailed Description of the Invention

### Polymers

The polymer materials which may be used in the invention have a high butylene terephthalate content. Such materials often display opacification when extruded and/or cannot be successfully blown after extrusion into a tubular parison.

15 They include:

- a) poly(butylene terephthalate) homopolymer,
- b) random polyester copolymers having above 80% butylene terephthalate repeat units,
- c) block copolymers comprising about 60% or more by weight of poly(butylene terephthalate),
- d) mixtures of at least two of a), b) and/or c); and
- e) mixtures of one or more of a), b) and/or c) with no more than 10% by weight of another polymer.

Examples of PBT homopolymers include Celanex 1600A, Celanex

25 1700A, both sold by Hoechst Celanese Corporation, and Ultradur B4500, and KR 4036, both PBT polymers sold by BASF. Polyester random copolymers with high butylene terephthalate content which also form whitened parisons on extrusion may also be used beneficially in the inventive process. Such copolymers will typically have at least 90% butylene terephthalate monomer units, more typically about 95% or more, and

30 preferably at least 98% butylene terephthalate monomer units. Block copolymers having PBT blocks constituting about 60% by weight or more, for instance PBT-polybutylene oxide block copolymers, may also be employed. Such block copolymers

include segmented block copolymers sold under the Hytrel® and Arnitel® trademarks. Blends of PBT with up to about 10%, preferably no more than 5%, and more preferably no more than about 2%, of another polymer may also be usefully employed. Examples of polymers which can be included in such blends include other polyesters, such as PET; 5 polyurethanes, especially polyurethanes derived from polyester polyols; polycarbonates, poly(meth)acrylates and maleate polymers.

### Process

For catheter balloons, extrusions may be prepared according to 10 conventional procedures used for other polymer materials such as PET, nylon or Pebax®.

The tube is then stressed in the axial direction at a temperature where necking occurs when axial stretching force is applied. Stressing in this manner starts a 15 elongation of the tube from a specific point along its length where the tubing material is yieldingly pulled out until a specific diameter is reached, at which time the necking stops and material starts pulling successively from the adjacent unnecked region. In this way the point where the necking takes place propagates along the length of the tubing until the a sufficient length of the tubing segment to form a balloon has been necked down. Necking occurs when the material is stretched at a temperature which is about 20 the Tg of the material, or lower. For a block copolymer the Tg referred to here is the highest Tg. At temperatures higher than about the Tg temperature, the material stretches uniformly, rather than by necking, when axially stressed. Typical necking temperatures will be in the range of 15-35 °C, with ambient room temperature of about 20-25 °C usually being acceptable. The elongation produced by this process is a function of 25 tubing wall thickness. For medical catheter balloons suited for dilatation or stent placement applications with nominal diameters of about 1.5 - 5.0 mm, extruded wall thicknesses in the range of 0.003 - 0.015 inch (0.076 - 0.38 mm) and outer diameters in the range of 0.015 - 0.055 inch (0.38 - 1.4 mm) will typically be suitable.

In accordance with the process of the present invention, the tubing is 30 pressurized during the necking step. The pressure should be high, but not so high as to cause the parison to expand radially or burst during the stretch step. A typical pressure range for PBT homopolymer is from about 50 psi (345 kPa) to about 800 psi (5516

kPa), depending on wall thickness. Greater wall thickness will require greater pressure. For dilatation balloon extrusions suitable pressures will typically be in the range of from about 300 psi (2068 kPa) to about 500 psi (3447 kPa). Somewhat lower internal pressures during axial stretch may give beneficial results for copolymers or PBT 5 polymer blends.

Following the longitudinal stretch step, the tubing is blown into an article such as a medical catheter balloon. Free blowing may be used, but typically a mold will be employed. Suitable radial expansion temperatures will usually be in the range of about 85-140°C, although in some cases temperatures as high as about 200°C may be 10 feasible. For medical catheter balloons internal pressure of about 250 (1724 kPa) to about 500 psi (3447 kPa) will generally be used to blow the balloon.

The two step process may be carried out in rapid sequence, for instance by inserting extruded tubing into a heated bath, promptly pressurizing and stressing to neck down the tubing before the tubing temperature exceeds the Tg of the material, and 15 then blowing the balloon as the necked tubing segment nears or reaches the bath temperature. In some such cases it may be possible to maintain a constant pressurization of the tubing during the necking step, during the interval between necking and blowing, and during the blowing step.

Although the PBT polymer materials used in the present invention 20 provide a balloon with a high rate of crystallization even when rapidly quenched following the blowing step, if desired, further crystallization can be accomplished by a heat setting step run at a temperature higher than the blow temperature (typically 5°- 25°C higher) but at a pressure lower than the blowing pressure (typically 30 psi (207 kPa) to about 100 psi (689 kPa). Heat setting can reduce balloon compliance and can 25 increase the burst pressure of the balloon. Heat setting procedures which may be adapted for use in the inventive process are described in EP 274 411 A2 (C. R. Bard) and EP 592 885 A2 (C.R. Bard), both of which are incorporated herein by reference.

If it is desired to increase compliance or to provide a stepped compliance profile, the blown balloon may be shrunk by heating to a temperature somewhat below 30 the blowing temperature (suitably to about 70°C - 80°C) while pressurizing to at about 30 psi (207 kPa) to about 100 psi (689 kPa). Shrinking procedures which may be

adapted for use in the inventive process are described in US 5,348,538 (L. Wang, et al) and in WO 97/32624, both of which are incorporated herein by reference.

### Composition

5 Compositions of high butylene terephthalate content polymers which provide improved blow moldability from an extruded parison are also provided by the present invention. The compositions may be obtained by melt blending the polymer material with 0.01% to 5.0%, preferably from about 0.05 to about 0.5%, and more preferably from about 0.1% to about 0.3%, by weight of boric acid. The blend may be  
10 made in the extrusion melter or in a premelt prepared prior to extrusion. Suitable polymer materials are as described above. PBT homopolymer is preferred.

15 As boric acid is well tolerated by the body, and PBT is available in food contact grades, the use of the compositions of the invention to make medical devices, or packaging articles for food, cosmetic or pharmaceutical applications is not seen to raise new biocompatibility questions.

### Articles

Both the process and the compositions of the invention provide benefits in obtaining high strength articles from PBT-based polymeric materials. The  
20 composition can be used to obtain blow molded articles by directly blowing an extruded tubular parison without any prestretching of the tubing. Conversely, the pressurized prestretch step has been demonstrated to allow balloon formation even in the absence of the boric acid additive of the inventive composition. However, when the process of the invention is practiced with a boric acid-containing composition of the invention, high  
25 strength products can be obtained at lower blow pressures than are required to form an article from a boric acid-free composition. The process and/or composition described above may be utilized to produce any kind of blow molded article for which it may be desirable to use PBT homopolymer or other high butylene terephthalate content polymer. Medical catheter balloons of diameters from about 1.25-40 mm, especially  
30 those in the range of about 1.5 to about 8 mm, are preferred articles to which the invention may be applied.

The balloons of the invention may be either single layer balloons, or multilayer balloons in which at least one layer is a high butylene terephthalate content polymer material as described above. The preferred 1.5-8 mm diameter dilatation balloons of this invention are suitably formed to provide a double wall thickness, 5 measured on the uninflated collapsed balloon, of about 0.0004" - 0.0025".

### Multilayer balloons

Known techniques for producing multilayer balloons may be readily modified to utilize a composition of the invention or the pressurized stretch step to 10 thereby enable the employment of the PBT layer material. Various techniques are known for producing such multilayer structures, including coextrusion as described in US 5,195,969 (J. Wang, et al.); US 5,290,306 (Trotta et al); and US 5,270,086 (Hamlin), and tube-in-tube techniques as described in copending US application 08/611,664, filed 6 March 1996; US 5,512,051 (J. Wang, et al); and in WO 96/04951 (Schneider Inc.), all 15 incorporated herein by reference.

In such multilayer balloons different high butylene terephthalate content polymeric materials may be employed as different layers of the same balloon, one or all of which may employ the boric acid additive. For instance, a multilayer laminate balloon may be provided from a coextruded tube having an inner layer of a PBT 20 homopolymer/boric acid composition and an outer layer of a compatible poly(ester-block-ether) material which gives improved puncture resistance and/or a softer, less scratchy feel to give reduced vessel trauma in use.

Referring to Figure 1 there is shown a catheter 10 comprising an 25 elongated tube 12 with a balloon 14, made of a layer of PBT treated with boric acid in accordance with the invention hereof, mounted at the distal end thereof.

Referring to Figure 2 there is shown a catheter balloon 20 comprising an inner layer 22 of a PBT treated with boric acid as described herein, and an outer layer 24 of a relatively softer polymer such as a poly(ester-block-ether).

In addition to having one or more structural polymer layers, the balloon may be provided with a nonstructural coating layer, for instance a coating of a lubricious polymer or of an antithrombotic material, to improve surface properties of the balloon.

Those skilled in the art will recognize that other techniques known for preparing blow molded articles can be readily modified in accordance with the teachings and observations provided herein, and without undue experimentation, to produce blow molded articles of high PBT content polymers according to the present invention.

The invention is illustrated by the following non-limiting examples.

10

## EXAMPLES

Attempts to form balloons were made using a number of different techniques and different polyester materials as shown in Table 1 below. The "yes" entries in Table 1 indicate that a balloon was formed. The "no" entries indicate that the technique did not produce a balloon. Examples 1-9 illustrate the procedures used.

15

### Example 1

Comparative - PET balloon (stretched above its glass transition temperature) PET tubing of ID 0.0171 and OD 0.0310 inch (made from Traytuf 7357, Shell Chemical) was stretched uniformly at 2.25 stretch ratio at 90°C. The stretched tube was inserted into a 3 mm balloon mold and the balloon was formed at 95°C with a molding pressure of 300 psi and tension of 5 grams. The balloon burst at 230 psi with average balloon wall thickness of 0.00029 inch (double wall thickness was 0.00058). The distention from 6 atm to 12 atm was 5.8%.

25

### Example 2

Comparative - PET balloon (without stretch)

The same PET tubing (unstretched) used in Example 1 was inserted into a 3 mm balloon mold without stretching and a balloon was formed at 95°C with the molding pressure of 180 psi (1241 kPa) and the tension of 20 grams. The balloon burst at 283 psi (1951 kPa) with average balloon wall thickness of 0.000445 inch (0.0113 mm) (double wall thickness was 0.00089 inch (0.0226 mm)). The distention from 6 atm to 12 atm (608 -1216 kPa) was 3.4%.

-10-

Example 3  
Comparative - PTT balloon (without stretch)

PTT (poly(trimethylene terephthalate) Sam Yang Co., Korea) tubing of  
5 ID 0.0170 and OD 0.0370 inch was used to form a 3 mm balloon at 95 °C with the  
molding pressure of 170 psi and the tension of 5 grams. The balloon burst at 232 psi  
with average balloon wall thickness of 0.00068 inch (double wall thickness was  
0.00136). The distention from 6 atm to 12 atm (608-1216 kPa) was 9.6%.

10

Example 4  
PBT balloon (without stretch, thinner wall tubing)

PBT tubing of ID 0.0200 and OD 0.0300 inch (made from Celanex PET  
1600A, Hoechst Celanese) was used to form a 3 mm balloon at 95 °C with the molding  
15 pressure of 250 psi. The balloon burst at 162 psi with average balloon wall thickness of  
0.000315 inch (double wall thickness was 0.00063). The distention of 6/10 atm was  
8.4%.

20

Example 5  
PBT balloon (without stretch, boric acid modified, thinner wall tubing)

Boric acid, 0.2% by weight, was added into PBT resin (Celanex PET  
1600A, Hoechst Celanese) during tubing extrusion. The extruded tubing with ID 0.0200  
and OD 0.0300 inch was used to form a 3 mm balloon at 95 °C with the molding  
25 pressure of 250 psi. The balloon burst at 169 psi with an average balloon wall thickness  
of 0.00030 inch (double wall thickness was 0.00060). The distention of 6/10 atm was  
9.4%.

30

Example 6  
PBT balloon (room temperature stretch without pressure,  
boric acid modified, thinner wall tubing)

The same tubing as in example 5 was used. The tubing of ID 0.0200 and OD 0.0300  
inch was necked first at room temperature and then the necked portion was inserted into  
35 a 3 mm balloon mold. A balloon was formed at 95 °C with the molding pressure of 300

-11-

psi. The balloon burst at 184 psi with average balloon wall thickness of 0.000295 inch (double wall thickness was 0.00059). The distention of 6/10 atm was 9.7%.

**Example 7**

**5 PBT balloon (stretch with pressure, regular tubing wall thickness)**

PBT tubing of ID 0.0170 and OD 0.0350 inch (made from Celanex PBT 1600A, Hoechst Celanese) was necked with 500 psi pressure inside. Then the necked portion was used to form a 3 mm balloon at 95°C with the molding pressure of 320 psi  
10 and the tension of 5 grams. The balloon burst at 390 psi with average balloon wall thickness of 0.00067 inch (double wall thickness was 0.00134 inch). The distention from 6 atm to 12 atm (608-1216 kPa) was 3.9%.

**Example 8**

**15 PBT balloon (stretch with pressure, boric acid modified, regular tubing wall thickness)**

PBT tubing ID 0.0170 and OD 0.0350 inch (made from Celanex PBT 1600A, Hoechst Celanese, with 0.2% boric acid by weight introduced in extrusion process) was necked with pressure 400 psi inside tubing at room temperature. Then the necked portion was  
20 used to form a 3 mm balloon at 95°C with the molding pressure of 390 psi and the tension of 5 grams. The balloon burst at 353 psi with average balloon wall thickness of 0.000675 inch (double wall thickness was 0.00135). The distention from 6 atm to 12 atm (608-1216 kPa) was 3.4%.

**Example 9**

**25 PBT balloon (stretch with pressure, regular tubing wall thickness)**

PBT tubing of ID 0.0170 and OD 0.0350 inch (made from Ultradur B 4500, BASF) was necked with pressure 400 psi inside tubing at room temperature.  
30 Then the necked portion was used to form a 3 mm balloon at 95°C with the molding pressure of 400 psi and the tension of 5 grams. The balloon burst at 364 psi with average balloon wall thickness of 0.000725 inch (double wall thickness was 0.00145). The distention from 6 atm to 12 atm (608-1216 kPa) was 3.6%.

Table 1- Effect of Different Polyester Balloon Forming Techniques and Compositions on Balloon Formation

Illustrative Example	Stretch Condition		Molding Pressure psi	Materials (tubing size)					
	Temp °C	Pressure psi		PET (0.0171 x 0.0310)	PTT (0.0170 x 0.0350)	PBT 1600A Boric acid (0.0171 x 0.0350)	PBT 1600A Boric acid (0.0171 x 0.0350)	PBT B-4500 (0.0171 x 0.0350)	PBT 1600A (0.0200 x 0.0300)
1	90	---	<300	yes	no	no	no	no	no
2, 3	none	---	<200	yes	yes	no	no	no	no
4, 5	none	---	200-300			no	no	no	yes
	none	---	400-500			no	no	no	yes
6	22	---	200-300	no	no	no	no	no	no
	22	---	400-500	no	no	no	no	no	no
	22	200-300	200-500	no	no	no	no	no	no
	22	400	200-300	no	no	no	no	no	no
8	22	400	~400	no	no	no	yes	yes	
	22	500	200	no	no	no	no	no	
7, 9	22	500	300-500	no	no	yes	yes	yes	

-13-

#### Example 10

PBT, Celanex 1600A (Hoechst Celanese), with 0.2% by weight boric acid was extruded into a tube of inner diameter 0.0170 and outer diameter 0.0350 inch. The tube was stretched at room temperature and a speed of 12 sec/4 inch until fully necked. The tube was pressurized at 400 psi while it was stretched. The stretched tube was then inserted in a 3.00 mm balloon mold and a balloon formed at 95°C with a blowing pressure of 300 psi and a tension of 30 grams.

Balloons prepared in this manner were subjected to standard burst tests. Burst strength and distension are given in Table 2.

10

#### Example 11

A stretched tube prepared in accordance with Example 10 was inserted in a 2.50 mm balloon mold and a balloon formed at 98°C with a blowing pressure of 280 psi and a tension of 30 grams.

15

Balloons prepared in this manner were subjected to standard burst tests. Burst strength and distension are given in Table 2.

#### Example 12

A tube prepared in accordance with Example 10 was annealed at 110°C for 1 hour before stretching and then stretched at a pressure of 420 psi. The stretched tube was inserted in a 2.75 mm balloon mold and a balloon formed at 98°C with a blowing pressure of 350 psi and a tension of 30 grams.

Balloons prepared in this manner were subjected to standard burst tests. Burst strength and distension are given in Table 2.

25

#### Example 13

A tube of Arnitel EM740 block copolymer (poly(butylene terephthalate)-block-poly(tetramethylene oxide)) with 0.1% (by weight) boric acid was extruded into a tube of inner diameter 0.0230 and outer diameter 0.039 inch. The tube was stretched at room temperature with 200 psi pressure until fully necked. The stretched tube was inserted into a 3.00 mm balloon mold and the balloon formed at 95°C with a blowing pressure of 300 psi and tension of 40 grams.

Balloons prepared in this manner were subjected to standard burst tests.

Burst strength and distension are given in Table 2.

**Table 2. Burst and Distention Test Results of Inventive Balloons**

Example	Double wall thickness (inch)	Burst pressure (psi)	Distention 6 atm - 12 atm (608-1216 kPa) (%)	Distention 6 atm - burst (608 kPa-burst) (%)
10	0.00125	323	3.7	9.8
11	0.00150	425	3.1	9.6
12	0.00120	338	3.7	12.1
13	0.00125	279	12.6	34.8

The process of the invention may also be usefully employed to form balloons of other polymers which have a very high crystallization rate, such as 5 polypropylene, nylon 12, nylon 11, nylon 6, and injection molding grades of PET (the latter are typically formulated with a nucleating agent to accelerate crystallization).

The above examples and disclosure are intended to be illustrative and not exhaustive. These examples and description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are 10 intended to be included within the scope of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

**Claims**

What is claimed is as follows:

1. A composition prepared by melt mixing a high butylene terephthalate content polymer material with 0.01-5.0% by weight of said composition boric acid.
2. A composition as in claim 1 wherein the polymer material is a member selected from the group consisting of
  - a) poly(butylene terephthalate) homopolymer,
  - b) random polyester copolymers having above 80% butylene terephthalate repeat units,
  - c) block copolymers comprising about 60% or more by weight of poly(butylene terephthalate),
  - d) mixtures of at least two of a), b) and/or c); and
  - e) mixtures of one or more of a), b) and/or c) with no more than 10% by weight of another polymer.
3. A composition as in claim 1 wherein the polybutylene terephthalate content is sufficient to cause opacification of extruded material in the absence of said boric acid.
4. A composition as in claim 1 wherein said polymer material is poly(butylene terephthalate) homopolymer.
5. A composition as in claim 1 wherein said polymer material is poly(butylene terephthalate)-block-poly(tetramethylene oxide).
6. A composition as in claim 1 wherein the amount of boric acid is from about 0.05 to 0.5 % by weight.
7. A composition as in claim 1 wherein the amount of boric acid is from about 0.1 to 0.3 % by weight.
8. An article formed from a composition as in claim 1.
9. An article as in claim 8 prepared by radial expansion of a hollow parison of said composition at elevated temperature and pressure.
10. An article as in claim 8 wherein the article is a balloon for a medical catheter device.
11. An article as in claim 10 wherein the medical catheter device is a dilatation catheter.

12. A process for forming an article from a composition consisting essentially of a polymer material having a high content of butylene terephthalate, optionally mixed with up to 5% by weight of boric acid, the process comprising:

- extruding a hollow parison of the composition;
- stressing the extruded hollow parison at a temperature at or below the T<sub>g</sub> of the polymer while subjecting the parison to internal pressure under conditions which produce a propagating necking of at least a portion of the extruded parison; and then
- radially expanding the necked portion of the parison under pressure at a temperature above the T<sub>g</sub> of the polymer material to form the article.

13. A process as in claim 12 wherein the polymer material is a member selected from the group consisting of

- a) poly(butylene terephthalate) homopolymer,
- b) random polyester copolymers having above 80% butylene terephthalate repeat units,
- c) block copolymers comprising about 60% or more by weight of poly(butylene terephthalate),
- d) mixtures of at least two of a), b) and/or c); and
- e) mixtures of one or more of a), b) and/or c) with no more than 10% by weight of another polymer.

14. A process as in claim 12 wherein the composition comprises boric acid in an amount of from about 0.05% to about 0.5% by weight of the composition.

15. A process as in claim 12 wherein the polymer material is poly(butylene terephthalate) homopolymer.

16. A process as in claim 15 wherein the composition comprises boric acid in an amount of from 0.1% to 0.3% by weight of the composition.

17. A process as in claim 12 wherein the polymer material is poly(butylene terephthalate)-block-poly(tetramethylene oxide).

18. A process as in claim 12 wherein the radially expanding step is performed in a mold.

19. A process as in claim 12 wherein the polybutylene terephthalate content is sufficient to cause opacification of the extruded parison in the absence of said boric acid.
20. An article produced by the process of claim 12.
21. An article as in claim 20 wherein the article is a balloon for a medical catheter device.
22. An article as in claim 21 wherein the medical catheter device is a dilatation catheter.
23. A method of forming a balloon for a medical device, comprising:
  - a) providing a length of tubing comprising at least one layer of PBT or PBT block copolymer;
  - b) longitudinally stressing the length of tubing at a temperature where necking occurs so as to neck down at least a portion of the tubing length, while subjecting the tubing to a first internal pressure; and
  - c) radially expanding a necked down portion of the tubing at a temperature between 85°C and 200° under a second internal pressure.
24. A method as in claim 23 wherein in step b) the temperature at which the tubing is necked down is in the range 15°C to 35°C and the first internal pressure is in the range of 50 to 800 psi (345-5516 kPa), and in step c), the radial expansion temperature is in the range of 85°C to 140°C, and the second internal pressure is in the range of from about 250 psi (1724 kPa) to about 500 psi (3447 kPa).
25. A method as in claim 24 wherein said first internal pressure is in the range of from about 300 psi (2068 kPa) to about 500 psi (3447 kPa).
26. A method as in claim 23 further comprising heat setting the balloon after said step c).
27. A method as in claim 23 further comprising subjecting the balloon to a shrink step after said step c).
28. A method as in claim 23 wherein said length of tubing comprises at least two layers of polymer material.
29. A method as in claim 28 wherein said length of tubing comprises a layer of PBT homopolymer and a layer of a PBT block copolymer.

30. A method as in claim 28 wherein the PBT block copolymer material is poly(butylene terephthalate)-*block*-poly(tetramethylene oxide).
31. A method as in claim 23 wherein said length of tubing has a single layer construction.
32. A method as in claim 31 wherein said single layer is made of PBT homopolymer.
33. A method as in claim 23 wherein the material of said layer includes up to about 5% of boric acid.
34. A method as in claim 12 further comprising heat setting the balloon after said radially expanding step.
35. A method as in claim 12 further comprising subjecting the balloon to a shrink step after said radially expanding step.
36. A process for forming an article from poly(butylene terephthalate) optionally mixed with up to 5% by weight of boric acid, the process comprising:
  - extruding a hollow parison of the composition; and,
  - without axially stretching the extruded parison,
  - radially expanding the extruded parison under pressure at a temperature above the Tg of the polymer material to form the article.
37. A process for forming an article from a composition consisting essentially of a polymer material having a high crystallization rate, the process comprising:
  - extruding a hollow parison of the composition;
  - stressing the extruded hollow parison at a temperature at or below the Tg of the polymer while subjecting the parison to internal pressure under conditions which produce a propagating necking of at least a portion of the extruded parison; and then
  - radially expanding the necked portion of the parison under pressure at a temperature above the Tg of the polymer material to form the article.

-19-

38. A method as in claim 37 wherein the polymer material is poly(butylene terephthalate) optionally mixed with up to 5% boric acid, polypropylene, nylon 12, nylon 11, nylon 6 or injection grade poly(ethylene terephthalate).
39. A method as in claim 38 wherein the article is a balloon for a medical catheter.

1/1

Fig.1

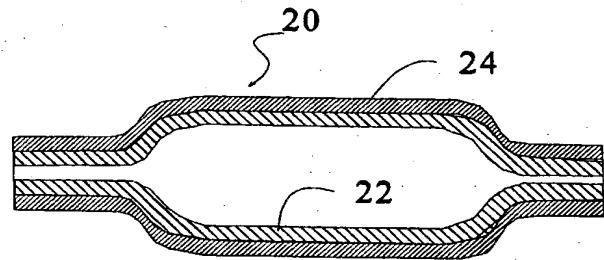
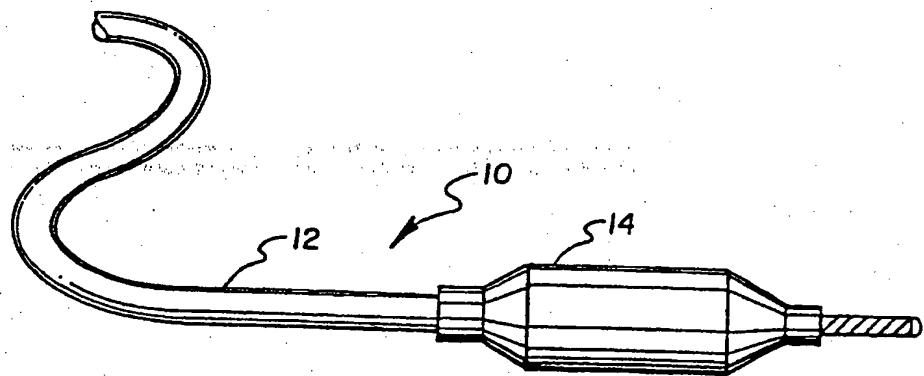


Fig. 2

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/04836

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 A61L29/00 C08L67/02 A61M25/10

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61L C08L C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 713 407 A (BAILEY PHILIP E ET AL) 15 December 1987 see column 1, line 32 - line 61 see column 3, line 31 - line 60 see example 7 ---	1-4,6,8
Y	US 4 560 722 A (TYRELL JOHN A) 24 December 1985 see column 2, line 66 - column 3, line 17 see example 2 see claims 1-8 ---	8-11
X	US 4 560 722 A (TYRELL JOHN A) 24 December 1985 see column 2, line 66 - column 3, line 17 see example 2 see claims 1-8 ---	1,6,7
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

<sup>°</sup> Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier document but published on or after the international filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

30 June 1999

12/07/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Menidjel, R

## INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 99/04836

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 270 086 A (HAMLIN ROBERT N) 14 December 1993	12, 13, 15, 18-23, 26-28, 31, 32, 34-39
Y	see column 2, line 31 - line 54 see column 3, line 9 - line 26 see column 4, line 64 - column 5, line 55	8-11
X	US 5 556 383 A (WANG LIXIAO ET AL) 17 September 1996	12, 17-32, 34, 35
	see column 4, line 62 - column 5, line 27 see example 1	
X	WO 96 04951 A (SCHNEIDER USA INC) 22 February 1996	12, 13, 15, 18-23, 26-28, 31, 32, 34-39
	see page 3, line 21 - page 4, line 26 see page 10, line 22 - page 11, line 9 see figure 1	

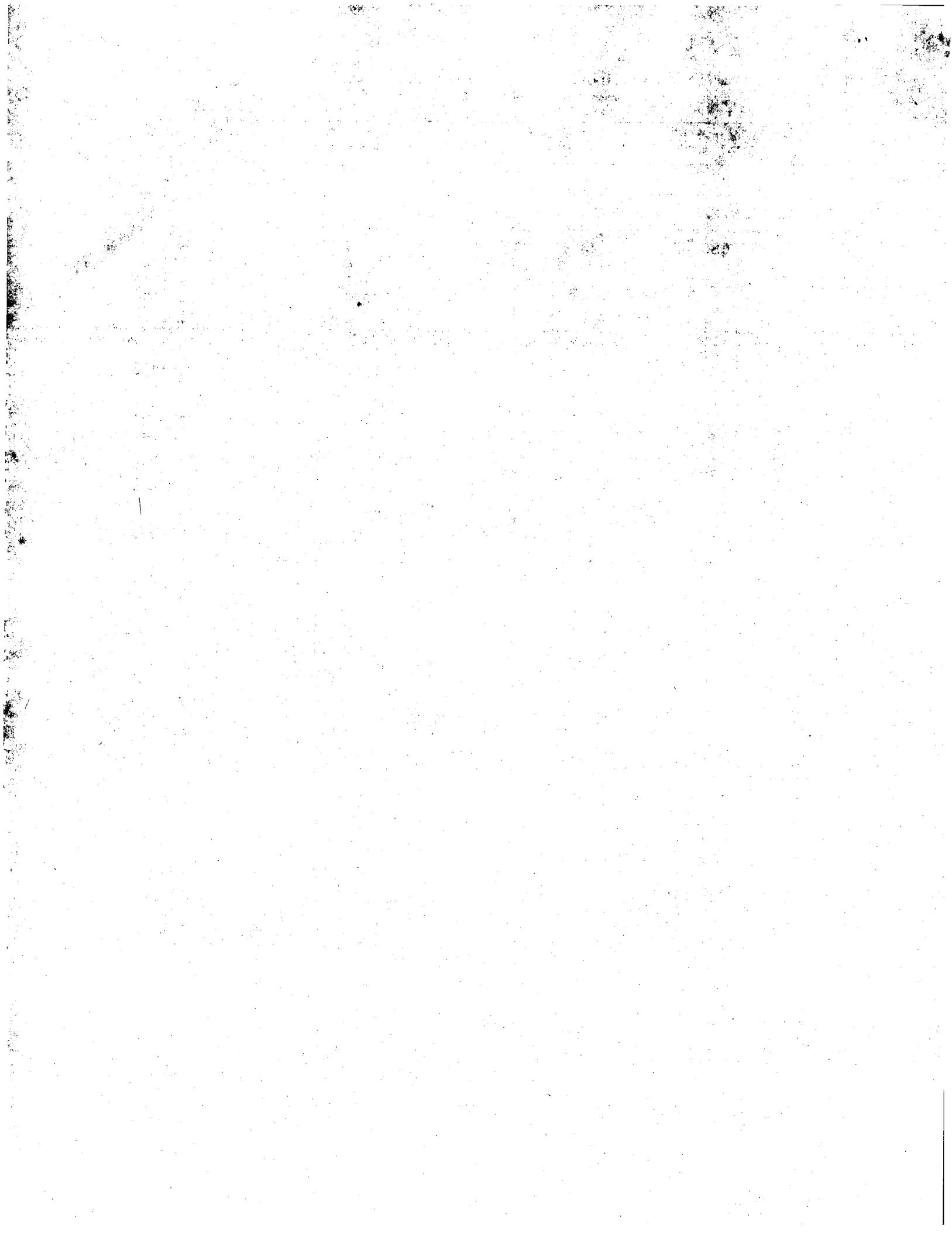
# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 99/04836

Patent document cited in search report	Publication date	Patent family member(s)			Publication date
US 4713407	A 15-12-1987	JP 62179556	A	06-08-1987	
US 4560722	A 24-12-1985	NONE			
US 5270086	A 14-12-1993	AT 91638	T	15-08-1993	
		AU 626103	B	23-07-1992	
		AU 6317290	A	28-03-1991	
		CA 2025962	A,C	26-03-1991	
		DE 69002295	T	04-11-1993	
		DK 420488	T	30-08-1993	
		EP 0420488	A	03-04-1991	
		ES 2043289	T	16-12-1993	
		IE 64203	B	12-07-1995	
		JP 10043287	A	17-02-1998	
		JP 3205064	A	06-09-1991	
		NO 178424	B	18-12-1995	
US 5556383	A 17-09-1996	US 5830182	A	03-11-1998	
		CA 2184383	A	08-09-1995	
		EP 0748232	A	18-12-1996	
		JP 9509860	T	07-10-1997	
		WO 9523619	A	08-09-1995	
WO 9604951	A 22-02-1996	US 5587125	A	24-12-1996	
		AT 173940	T	15-12-1998	
		AU 683574	B	13-11-1997	
		AU 2935395	A	07-03-1996	
		CA 2196312	A	22-02-1996	
		DE 69506419	D	14-01-1999	
		DE 69506419	T	22-04-1999	
		EP 0776226	A	04-06-1997	
		ES 2124001	T	16-01-1999	
		JP 9508558	T	02-09-1997	



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



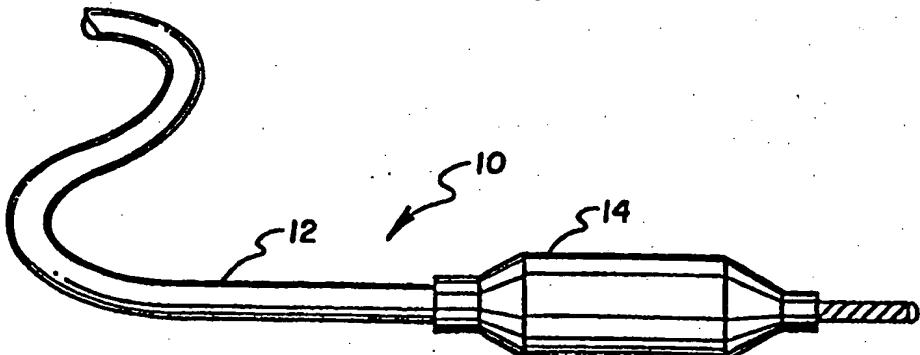
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: <b>A61L 29/00, C08L 67/02, A61M 25/10</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/44649</b> (43) International Publication Date: 10 September 1999 (10.09.99)
(21) International Application Number: <b>PCT/US99/04836</b>		(81) Designated States: CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 4 March 1999 (04.03.99)		
(30) Priority Data: 09/034,431 4 March 1998 (04.03.98) US		<b>Published</b> <i>With international search report.</i> <i>With amended claims.</i>
(71) Applicant: SCIMED LIFE SYSTEMS, INC. [US/US]; One SciMed Place, Maple Grove, MN 55311-1566 (US).		Date of publication of the amended claims: 04 November 1999 (04.11.99)
(72) Inventors: WANG, Lixiao; 12822 86th Place North, Maple Grove, MN 55369 (US). CHEN, Jianhua; 4725 Terraceview Lane, Plymouth, MN 55446 (US).		
(74) Agents: STEINKRAUS, Walter, J. et al.; Vidas, Arrett & Steinkraus, Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9131 (US).		

(54) Title: COMPOSITION AND PROCESS FOR MANUFACTURING PBT CATHETER BALLOONS

(57) Abstract

A processing technique and a composition which allow compositions of PBT polymers or copolymers to be formed into blow molded articles, especially medical balloons such as dilatation or stent placement balloons, from extruded tubular parisons. The process includes a longitudinal stretch step run at a temperature below the  $T_g$  of the polymeric material and a radial expansion step run at a temperature above the  $T_g$  of the polymeric material. During the longitudinal stretch step, the tubing is subjected to high internal pressure. In the composition a small amount of boric acid is added to the polybutylene terephthalate in a melt blend to give a formulation which has reduced crystallinity after extrusion and which can more readily be fashioned into high strength blow molded articles than PBT by itself.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

-20-

#### AMENDED CLAIMS

[received by the International Bureau on 10 September 1999 (10.09.99);  
original claims 1-39 replaced by new claims 1-24 (3 pages)]

1. A balloon for a medical device formed from a polymer material composition, wherein the composition comprises a high butylene terephthalate content polymer and 0.01-5.0% by weight of said composition of boric acid.
2. An article prepared by radial expansion of a hollow parison of a polymer material composition at elevated temperature and pressure, wherein the polymer material composition comprises a high butylene terephthalate content polymer and 0.01-5.0% by weight of said composition of boric acid.
- 10 3. A polymer material composition consisting essentially of a single high butylene terephthalate content polymer and 0.01-5.0% by weight of said composition of boric acid.
4. A process for forming an article from a polymer composition consisting essentially of a polymer material having a high crystallization rate, the process comprising:
  - extruding a hollow parison of the composition;
  - stressing the extruded hollow parison at a temperature at or below the Tg of the polymer while subjecting the parison to internal pressure under conditions which produce a propagating necking of at least a portion of the extruded parison;
  - 20 and then
  - radially expanding the necked portion of the parison under pressure at a temperature above the Tg of the polymer material to form the article.
5. A process for forming a balloon for a medical device, comprising:
  - a) providing a length of tubing comprising at least one polymer layer of PBT or PBT block copolymer, optionally in mixture with up to 5% boric acid;
  - b) longitudinally stressing the length of tubing at a temperature where necking occurs so as to neck down at least a portion of the tubing length, while subjecting the tubing to a first internal pressure; and
  - c) radially expanding a necked down portion of the tubing at a temperature between 85°C and 200° under a second internal pressure.
- 30 6. The invention of any of claims 1-4 wherein the polybutylene terephthalate

content of the polymer is sufficient to cause opacification of extruded material in the absence of boric acid.

7. The invention of any of claims 1-6 wherein said polymer is poly(butylene terephthalate) homopolymer.

5 8. The invention of any of claims 1-6 wherein said polymer is poly(butylene terephthalate)-*block*-poly(tetramethylene oxide).

9. The invention of any of claims 1-8 wherein the polymer composition has a boric acid content of 0.05 to 0.5 % by weight.

10. 10. A process as in claim 1-2 wherein the polymer material is a member selected from the group consisting of

- a) poly(butylene terephthalate) homopolymer,
- b) random polyester copolymers having above 80% butylene terephthalate repeat units,
- c) block copolymers comprising about 60% or more by weight of poly(butylene terephthalate),
- d) mixtures of at least two of a), b) and/or c); and
- e) mixtures of one or more of a), b) and/or c) with no more than 10% by weight of another polymer.

11. 11. The invention of any of claims 3-4 wherein the polymer is a poly(butylene terephthalate) homopolymer, a random polyester copolymers having above 80% butylene terephthalate repeat units, or a block copolymer comprising about 60% or more by weight of poly(butylene terephthalate).

10. 10. A balloon for a medical device produced by the method of claim 4 or claim 5.

25 11. 11. A balloon as in claim 10 wherein the medical catheter device is a dilatation catheter.

12. 12. A process as in claim 4 or claim 5 wherein the radially expanding step is performed in a mold.

13. 13. A process as in claim 5 wherein in step b) the temperature at which the 30 tubing is necked down is in the range 15°C to 35°C and the first internal pressure is in the range of 50 to 800 psi (345-5516 kPa), and in step c), the radial expansion

-22-

temperature is in the range of 85°C to 140°C, and the second internal pressure is in the range of from about 250 psi (1724 kPa) to about 500 psi (3447 kPa).

14. A process as in claim 13 wherein said first internal pressure is in the range of from about 300 psi (2068 kPa) to about 500 psi (3447 kPa).
- 5 15. A process as in claim 4 or claim 5 further comprising heat setting the balloon after said radially expanding step.
16. A process as in claim 4 or claim 5 further comprising subjecting the balloon to a shrink step after said radially expanding step.
17. A process as in claim 5 wherein said length of tubing comprises at least 10 two layers of polymer material.
18. A process as in claim 17 wherein said length of tubing comprises a layer of PBT homopolymer and a layer of a PBT block copolymer.
19. A process as in claim 18 wherein the PBT block copolymer material is poly(butylene terephthalate)-*block*-poly(tetramethylene oxide).
- 15 20. A method as in claim 23 wherein said length of tubing has a single layer construction.
21. A process as in claim 20 wherein said single layer is made of PBT homopolymer.
22. A process for forming an article from poly(butylene terephthalate) 20 optionally mixed with up to 5% by weight of boric acid, the process comprising:
  - extruding a hollow parison of the composition; and,
  - without axially stretching the extruded parison,
  - radially expanding the extruded parison under pressure at a temperature above the Tg of the polymer material to form the article.
- 25 23. A process as in claim 4 wherein the polymer composition is poly(butylene terephthalate) optionally mixed with up to 5% boric acid, polypropylene, nylon 12, nylon 11, nylon 6 or injection grade poly(ethylene terephthalate).
24. A process as in claim 23 wherein the article is a balloon for a medical catheter.

